



TELEPHONE (312) 258-5500

**SCHIFF HARDIN & WAITE**

PATENT DEPARTMENT

6600 SEARS TOWER

233 SOUTH WACKER DRIVE

CHICAGO, ILLINOIS 60606

CONFIRMATION NO.: 5877

RECEIVED  
FEB - 4 2004  
TC 2800 MAIL ROOM

In re. application of: Acklin et al.

SERIAL NO.: 09/662,209

GROUP ART UNIT: 2828

FILED: September 14, 2000

EXAMINER: A. Rodriguez

TITLE: "ENCAPSULATED ILLUMINATION UNIT" (AS AMENDED)  
**APPLICANTS' RESPONSE TO THE NOVEMBER 6, 2003 OFFICE ACTION**

Assistant Commissioner for Patents

Washington D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

☒ No additional fee is required.

The fee has been calculated as shown below.

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS	* 22	MINUS	** 22	X	( ) X 9.00 ( ) X 18.00	
INDEP. CLAIMS	* 1	MINUS	1	X	( ) X 42.00 ( ) X 84.00	
Application amended to contain any multiple dependent claims not previously paid for.				( ) YES ( ) NO	( ) \$135.00 ( ) \$270.00 ONE TIME	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0.00

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20 write "20" in this space.

- ☐ Applicants petition the Commissioner of Patents and Trademarks to extend this time for response to the Office Action dated \_\_\_\_\_ for \_\_\_\_\_ months so that the period for response is extended to \_\_\_\_\_. A check in the amount of \$\_\_\_\_\_ is attached to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to deposit account No. 501519. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ is attached.
- ☐ A check for \$\_\_\_\_\_ accompanying IDS under 37 CFR 1.97(c) is attached
- ☐ A check for \$\_\_\_\_\_ and Petition for Consideration of IDS under 37 CFR 1.97(d) is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to account No. 501519. A duplicate of this sheet is enclosed.
- When phoning re this application, please call (312) 258-5790.

SCHIFF HARDIN & WAITE (Customer Number: 26574)

Patent Department

BY Steven H. Noll (28,982)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 26, 2004.

Steven H. Noll

NAME OF APPLICANT'S ATTORNEY

SIGNATURE

January 26, 2004

DATE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS' RESPONSE TO THE NOVEMBER 6, 2003 OFFICE ACTION**

APPLICANTS: Acklin et al. CONFIRMATION NO.: 5877  
SERIAL NO.: 09/662,209 GROUP ART UNIT: 2828  
FILED: September 14, 2000 EXAMINER: A. Rodriguez  
TITLE: "ENCAPSULATED ILLUMINATION UNIT" (AS AMENDED)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

S I R:

Applicants and their counsel have carefully reviewed the Office Action dated November 6, 2003, but believe the claims in their present form are patentable over the references relied upon by the Examiner. Reconsideration of the application in view of the following arguments in support of patentability is therefore respectfully requested.

**REMARKS**

In the November 6m 2003 Office Action, claims 1-6 and 9 were rejected under 35 U.S.C. §102(b) as being anticipated by Plickert et al. These claims were previously rejected based on the Plickert et al. reference in the May 9, 2003 Office Action, however, in that earlier Office Action the Examiner had relied on the substrate 30 in the Plickert et al. reference as allegedly serving to encapsulate the lenses and the laser. In Applicants' Response filed August 11, 2003, Applicants provided arguments as to why this interpretation of the Plickert et al. reference was not correct. The Examiner now agrees that the substrate 30 does not serve to encapsulate the lenses and the laser in the Plickert et al. reference, however, the Examiner is now relying on the truncated pyramid shape that is provided within the